

Shape-Controlled Deterministic Assembly of Nanowires

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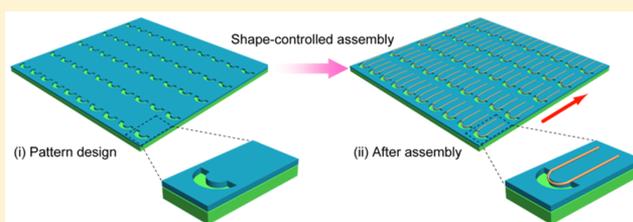
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Supporting Information

ABSTRACT: Large-scale, deterministic assembly of nanowires and nanotubes with rationally controlled geometries could expand the potential applications of one-dimensional nanomaterials in bottom-up integrated nanodevice arrays and circuits. Control of the positions of straight nanowires and nanotubes has been achieved using several assembly methods, although simultaneous control of position and geometry has not been realized. Here, we demonstrate a new concept combining simultaneous assembly and guided shaping to

achieve large-scale, high-precision shape controlled deterministic assembly of nanowires. We lithographically pattern U-shaped trenches and then shear transfer nanowires to the patterned substrate wafers, where the trenches serve to define the positions and shapes of transferred nanowires. Studies using semicircular trenches defined by electron-beam lithography yielded U-shaped nanowires with radii of curvature defined by inner surface of the trenches. Wafer-scale deterministic assembly produced U-shaped nanowires for >430 000 sites with a yield of ~90%. In addition, mechanistic studies and simulations demonstrate that shaping results in primarily elastic deformation of the nanowires and show clearly the diameter-dependent limits achievable for accessible forces. Last, this approach was used to assemble U-shaped three-dimensional nanowire field-effect transistor bioprobe arrays containing 200 individually addressable nanodevices. By combining the strengths of wafer-scale top-down fabrication with diverse and tunable properties of one-dimensional building blocks in novel structural configurations, shape-controlled deterministic nanowire assembly is expected to enable new applications in many areas including nanobioelectronics and nanophotonics.

KEYWORDS: Silicon nanowires, nanowire arrays, elastic deformation, bioelectronics, nanoelectronics, field-effect transistors



Interest in the assembly of nanoscale wires, such as semiconductor nanowires and carbon nanotubes, has long been motivated by the development of bottom-up integrated nanodevice arrays and circuits.^{1–6} Existing assembly methods^{7,8} have been used to align^{9–17} and in some cases deterministically position^{18–22} straight nanoscale wires. These methods have used a variety of forces to achieve alignment including mechanical,^{9,18,22} electric,^{19,20} magnetic,⁷ liquid surface tension,^{10,12,15} optical,^{13,17} and chemical.^{14,16,21} In addition, patterning regions of selective interaction on substrates have also been used with some success for deterministic assembly.^{18–22} Most recently, we have described a nanocombing assembly method^{18,22} that allowed for separate anchoring and then straightening/aligning of nanowires with shear forces and showed that this could be used to assemble by deterministic design large-scale integrated finite state machine.²²

These latter studies, which separate anchoring from alignment, suggest that the flexibility and large elastic strains sustainable in nanoscale wires^{23,24} could be exploited with the

combing method to assemble nanoscale wires with well-controlled curvature. Assembly of nanoscale wires with defined curvature and position could open up many opportunities not possible with straight nanoscale wires and thus represents an important target. Conceptually, to realize shape control during deterministic assembly requires that (i) applied forces are sufficiently large to bend the nanoscale wires, and (ii) the bending curvature and directions should be rationally controlled at the nanoscale. Here we address these requirements by introducing controlled curvature anchors to enable guided shaping during nanowire combing.

Our assembly method (Figure 1a) involves two key steps. First, trenches with designed shapes are patterned in a surface layer on the target substrate (Figure 1a(i)). Second, the nanowire growth substrate is flipped over and translated with a fixed normal load and specific orientation with respect to the

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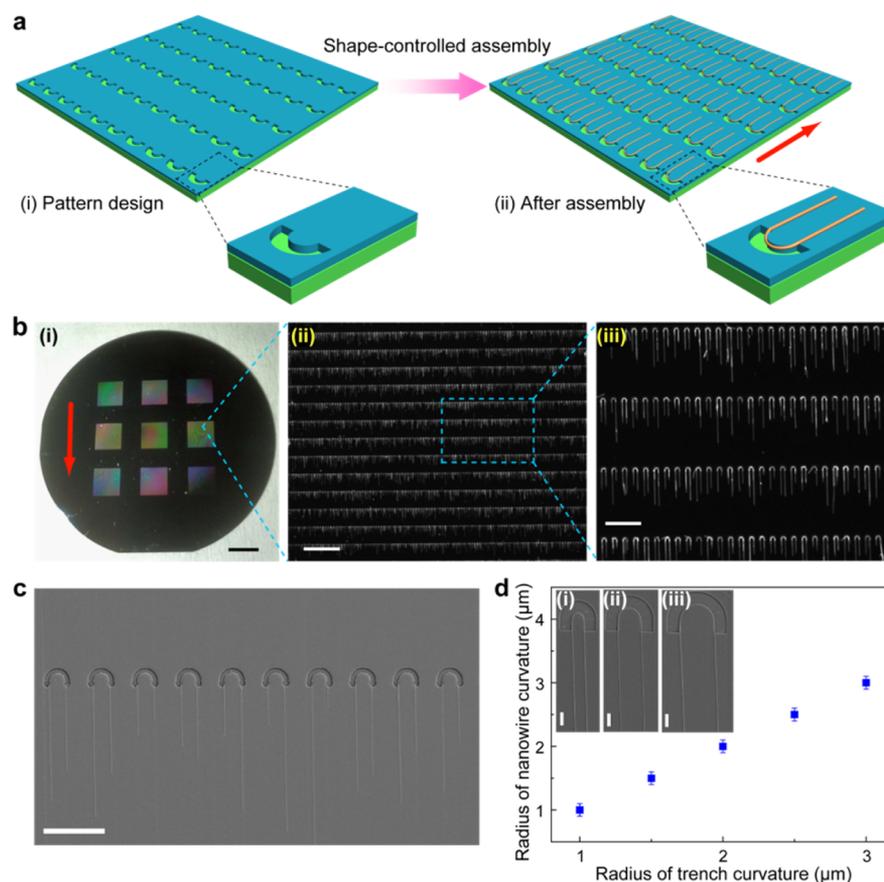


Figure 1. Shape-controlled nanowire assembly. (a) Schematic of deterministic assembly of U-shaped nanowire arrays. The red arrow (right side) shows the sliding direction of the nanowire growth substrate with respect to the target substrate. (b) Photograph and optical images of wafer-scale deterministically assembled U-shaped silicon nanowire arrays by trenches. Nanowire diameter is 80 nm. The arrow in (i) shows the sliding direction of the nanowire growth substrate with respect to the target substrate. Scale bars in (i)–(iii) are 10 mm, 200 μm , and 50 μm , respectively. (c) Representative SEM image of U-shaped silicon nanowire array. Scale bar, 20 μm . (d) Plot of the radius of nanowire curvature versus the trench radius of curvature. Nanowire diameter is 80 nm. Insets: Representative SEM images of U-shaped silicon nanowires assembled by U-shaped trenches with controlled radius, R , of curvature: (i) 1 μm ; (ii) 2 μm ; (iii) 3 μm . Nanowire diameter is 80 nm. Scale bars, 2 μm .

trenches over the target substrate.¹⁸ During this latter process (Figure 1a(ii)), the trench structures serve to anchor and guide the bending of nanowires during the shear transfer process with overall alignment determined by the linear translation.

A prototypical example of our concept is shape-controlled deterministic assembly of U-shaped nanowires as it captures all of the essential elements of the assembly process; that is, the U-shape structure requires assembly to define the radius of curvature, position, and alignment. We first explored the capabilities of our approach in wafer scale deterministic assembly. First, photolithography was used to define >430 000 U-shaped trenches in nine arrays on a 3 in. wafer, where the trench radius of curvature and depth were 1.5 μm and 260 nm, respectively (see Materials and Methods in Supporting Information). This patterned target substrate was mounted on a linear translation stage, coated with high viscosity mineral oil, and then the nanowire growth substrate (randomly distributed and straight silicon nanowires: lengths, 40–80 μm ; mean diameter, 80 nm) was placed on the target substrate. After the pressure was set between the patterned target and nanowire growth substrates ($\sim 4.8 \text{ N cm}^{-2}$ in this experiment), the target substrate was translated in a direction perpendicular to the tangent of the U-shape (red arrow, Figure 1b) at a constant velocity.

Optical microscopy and scanning electron microscopy (SEM) images of the assembled nanowire arrays (Figure 1b,c) show several important features. First, U-shaped nanowires are uniformly assembled on the patterned regions of the whole wafer with pitch of adjacent nanowires of 15 μm and row-to-row pitch of 100 μm . The overall assembly yield, which is estimated from statistics from several different areas, each featuring a size of $1.5 \times 1.5 \text{ mm}^2$ and containing 1500 sites (trench depth, 260 nm), is 86–94%. Specifically, 43–51% of the trenches contain a single nanowire. Second, nanowires are precisely anchored in the U-shaped trenches. The nanowire radii of curvature in these experiments are defined by the inner edge of the trenches, and thus it is not necessary to define nanometer width trenches to match the nanowire diameters to achieve precise assembly.

We assembled nanowires in U-shaped trenches with varying width and depth to determine how these parameters affect assembly yield (Figure S1). First, the overall yield increases with trench width, with >90% for widths $\geq 2 \mu\text{m}$. Second, we observed that when trench depth is less than the nanowire diameter, the anchoring yield is close to zero, but the yield increases to >90% for depths $\geq \sim 4$ -times the nanowire diameter. We fabricated U-shaped trenches with different radii of curvature to rationally control the radii of curvature of nanowires. SEM images of 80 nm diameter nanowires

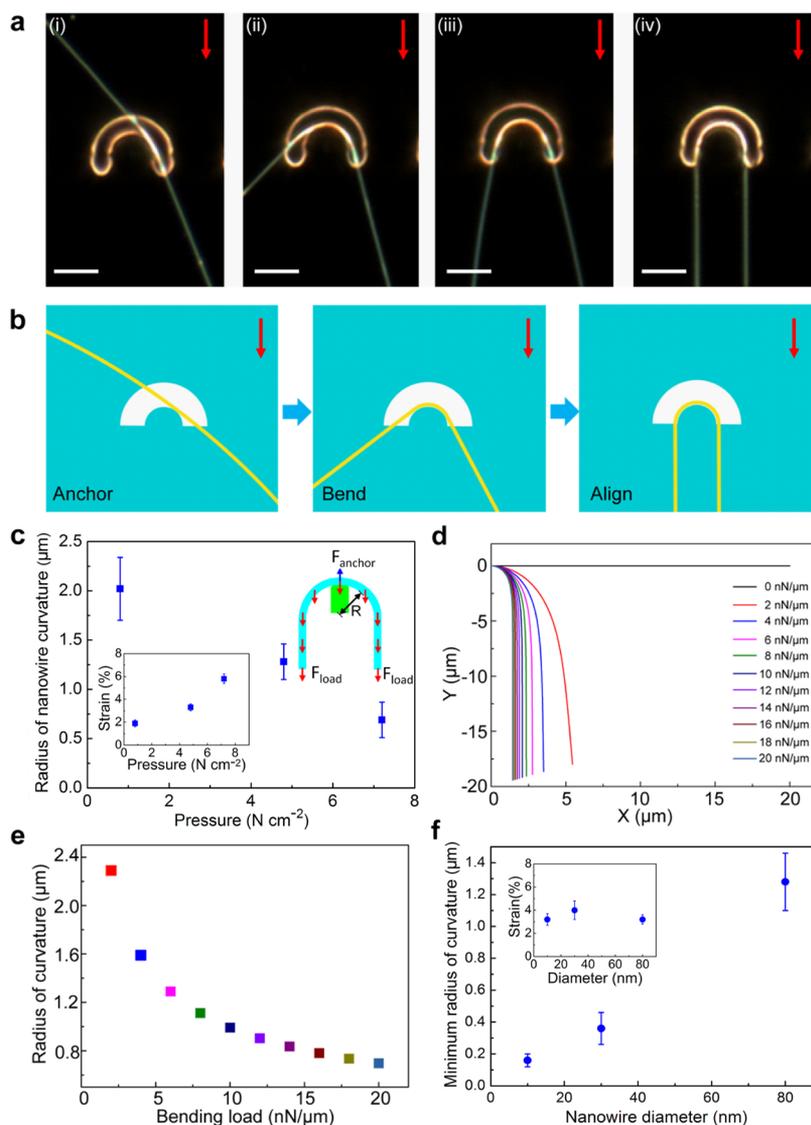


Figure 2. Shape-controlled nanowire assembly. (a) Optical images of nanowires at different stages of assembly and bending process. The red arrows show the sliding direction of the nanowire growth substrate with respect to the target substrate. Scale bars, 3 μm . (b) Schematics of anchor-bend-align assembly process. The red arrows show the sliding direction of the nanowire growth substrate with respect to the target substrate. (c) Plot of silicon nanowire R versus contact pressure. Left inset: Model and force analysis of nanowire bending during assembly. Right inset: Plot of strain of silicon nanowires versus contact pressure. Nanowire diameters are 80 nm. (d) Simulation of the deformation of the nanowire under bending loads 0–20 $\text{nN}/\mu\text{m}$. (e) The corresponding radius of curvature at the fixed end calculated from the simulation results in panel d. (f) Plot of minimum R versus nanowire diameter under standard assembly conditions (pressure = 4.8 N cm^{-2}). Inset: Plot of nanowire strain versus diameter.

assembled in trenches with radii of curvature from 1–3 μm (Figure 1d) show that the nanowire curvature closely follows the inner edge of trenches for these different radii; we address lower limits versus nanowire diameter further.

We conducted experiments and modeling to understand better the process and bending limits of shape-controlled assembly. First, we used a micromanipulator (Supporting Information) to translate the growth substrate a short about 100–200 μm distance over the target substrate trenches (vs across the entire target substrate) to yield a distribution of assembled nanowire positions from early to end stages of assembly. Representative dark field optical microscopy images (Figure 2a) reveal that the roughly straight nanowires are initially anchored on the inner edge of U-shaped trenches (Figure 2a(i)), then bend around the curved edge of the trenches (Figure 2a(ii,iii)), and finally the two arms of nanowire

are aligned along the translation direction (Figure 2a(iv)). On the basis of these results and the trench width/depth dependent data (Figure S1), we suggest that shape-controlled nanowire assembly (Figure 2b) proceeds by an anchor-bend-align process. The trenches serve to initially anchor/mechanically trap nanowires, and then the shear force produced during the transfer^{3,9,18,22} bends the nanowires around the shaped trench and aligns their arms to the transfer direction.

Second, we studied the effect of contact pressure on nanowire curvature by transferring 80 nm diameter nanowires into trenches with small $\sim 200 \text{ nm}$ radii of curvature. SEM images (Figure S2) and analyses (Figure 2c; $N = 10$) for nanowires assembled with contact pressures of 0.8, 4.8, and 7.2 N cm^{-2} yield radii of 2.0 \pm 0.3, 1.3 \pm 0.2, and 0.7 \pm 0.2 μm and estimated strains (Figure 2c, inset) of 1.9 \pm 0.3, 3.3 \pm 0.3, and 5.8 \pm 0.4%, respectively; these values correspond to the

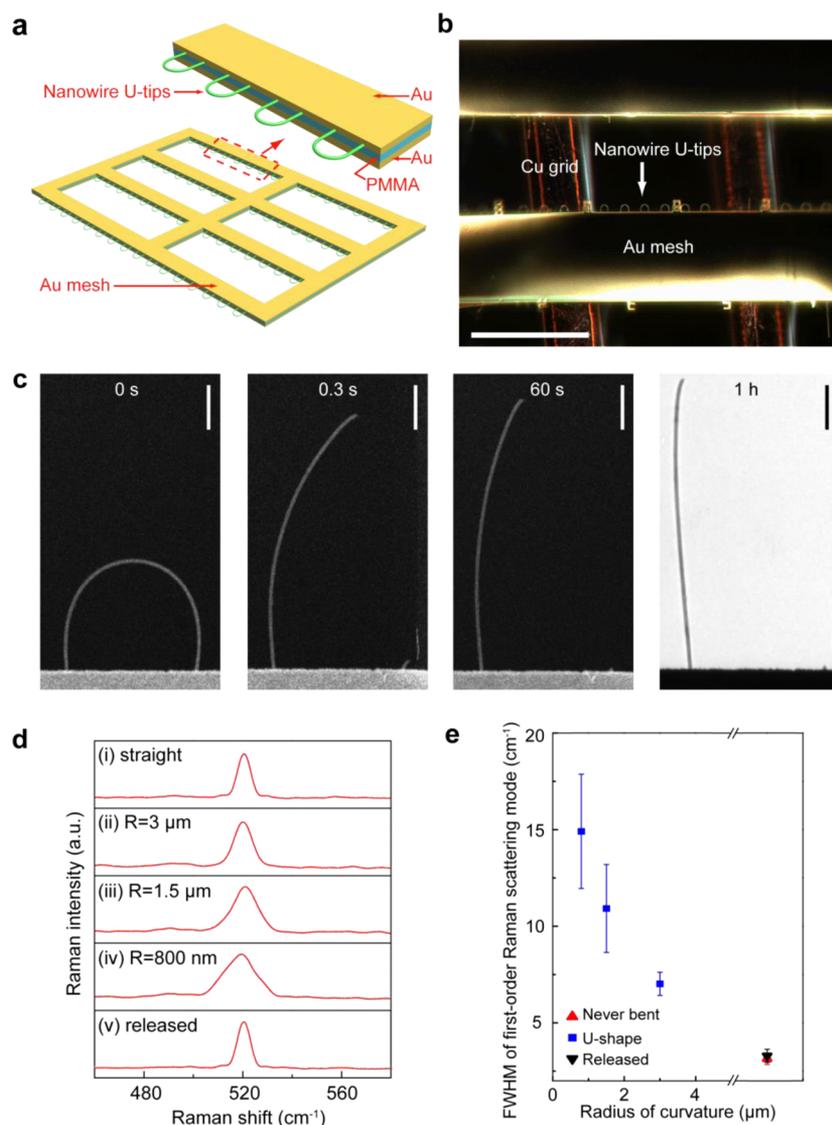


Figure 3. Strain characterization of shape-controlled nanowires. (a) Schematics highlighting the structure of the free-standing U-shaped silicon nanowire arrays with arms embedded in Au mesh. (b) Dark-field optical image of U-shaped silicon nanowire array fixed and encapsulated in a double-layer gold mesh network transferred to a copper TEM grid. Scale bar, 50 μm . (c) A time sequence of three SEM images showing the release process for a U-shaped silicon nanowire ($R = 1.5 \mu\text{m}$) after cutting by FIB. (right) TEM image of a nanowire following FIB cutting. Scale bars, 1 μm . (d) Normalized Raman spectra acquired on silicon nanowires: (i) straight/unbent nanowire; (ii–iv) U-shaped tip with $R = 3.0$, 1.5, and 0.8 μm , respectively; and (v) roughly straight nanowire following FIB cutting on arm remote from bend ($R = 1.5 \mu\text{m}$ prior to cutting). (e) Plot of full width at half-maximum (fwhm) of the first-order Raman scattering mode versus R of assembled U-shaped silicon nanowires.

maximum strain at the surface of nanowires. A geometrically nonlinear finite element model (Supporting Information) was used to simulate the large deformation of the nanowire under uniform distributed in-plane force, F_{load} . The simulation results (Figure 2d,e) show that the radius of curvature decreases and strain increases with increasing F_{load} applied to nanowires. These results are thus consistent with the experimental data and our suggestion that increasing contact pressure during transfer yields increased F_{load} . Last, we characterized the minimum radius of curvature as a function of nanowire diameter for a fixed contact pressure of 4.8 N cm^{-2} . A summary of results for assembly of U-shaped nanowires with diameters of 10, 30, and 80 nm (Figure 2f, $N = 10$) yields minimum radii of curvature 0.16 ± 0.04 , 0.36 ± 0.1 , and $1.29 \pm 0.17 \mu\text{m}$ and estimated strains of 3.2 ± 0.5 , 4.0 ± 0.8 , and $3.2 \pm 0.4\%$, respectively. The 80 and 30 nm diameter nanowires were assembled on 200 nm radii of inner curvature trenches

fabricated by electron-beam lithography, while the 10 nm diameter nanowires were assembled on 75 nm radii of curvature gold nanoparticles (Supporting Information; Figure S3). These results show that smaller diameter nanowires achieve smaller radii of curvature for fixed contact pressure and thus are consistent with the anchor-bend-align assembly process.

We fabricated free-standing mesh structures with assembled arrays of different radii of curvature U-shaped silicon nanowires to enable substrate-free studies of the nanowires (Supporting Information). The arms of the assembled nanowires were fixed between Au layers while the suspended U-shaped nanowire tips were left exposed (Figure 3a), and the mesh structure was transferred onto a copper transmission electron microscopy (TEM) grid (Figure 3b) for characterization. First, a focused ion beam (FIB) was used to cut one arm of suspended U-shaped nanowires (Supporting Information), and *in situ* SEM

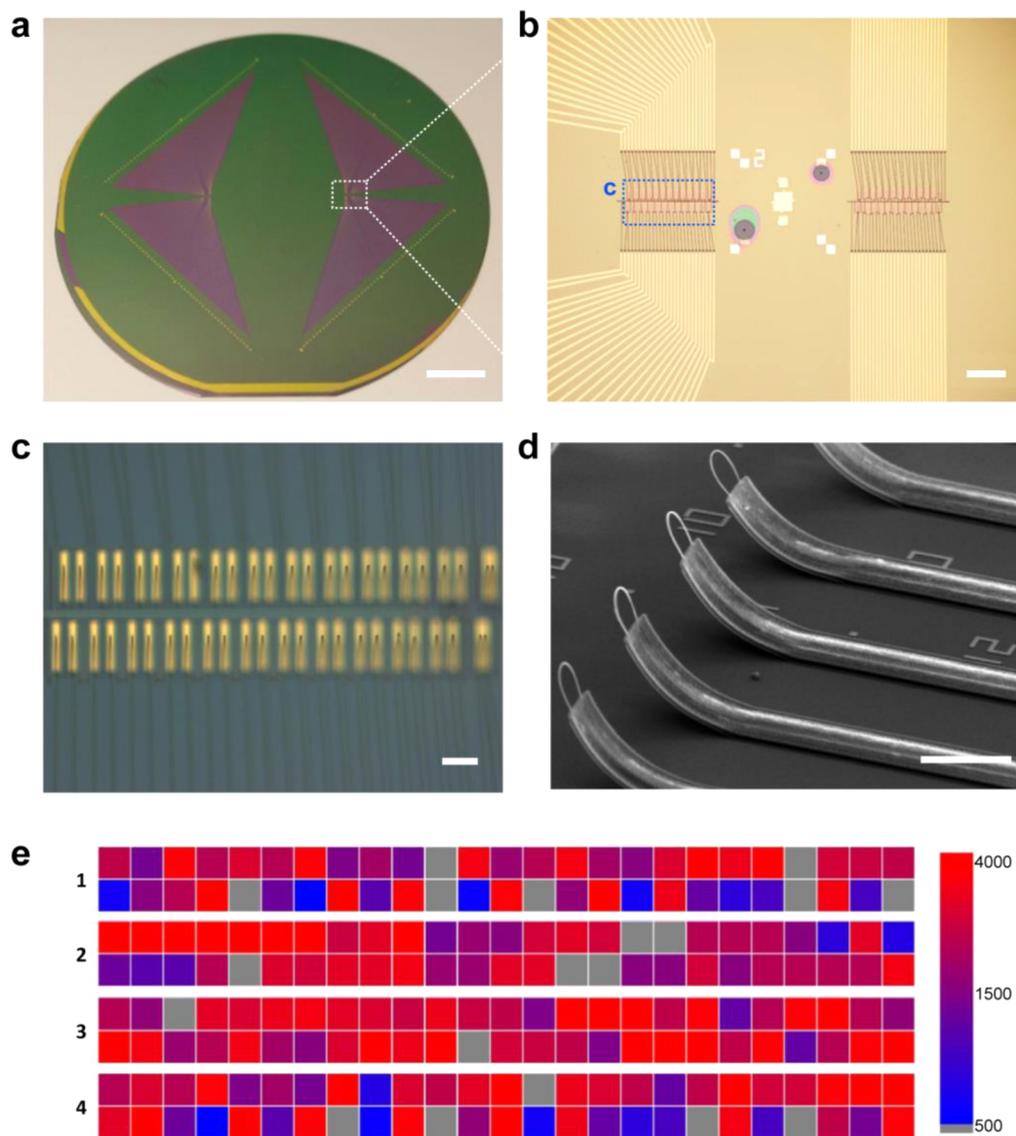


Figure 4. 3D U-shaped nanowire bioprobe arrays. (a) Photograph of a 3 in. wafer with deterministic 3D U-shaped nanowire probe arrays. Scale bar, 10 mm. (b, c) Optical microscopy images of a representative region of 3D nanowire probe arrays. Scale bars are 200 and 20 μm in panels b and c, respectively. Two-hundred total devices were fabricated in four blocks with 50 devices per block. (d) Representative tilt-view SEM image of the tips of 3D bend-up probe array. Scale bar, 10 μm . (e) Mapping of sensitivity-to-noise ratios of all 200 U-shaped silicon nanowire probes on the 3 in. wafer. 1, 2, 3, and 4 designate the four blocks. Each pixel (color from blue to red) indicates the sensitivity-to-noise (V^{-1}) of individual devices; gray pixels correspond to nonconductive devices.

imaging (Figure 3c and Supplementary Video 1) revealed that the nanowire rapidly released most of the strain within 0.3 s and then further relaxed to a nearly straight configuration after the cutting process (Figure 3c, right). These results suggest that bending is largely elastic for the assembly conditions. Second, high-resolution TEM images of suspended 80 nm diameter nanowires with 3, 1.5, and 0.8 μm radii of curvature (Figure S4) show that dislocation density in the curved portions of the nanowires increases with reduced radius of curvature. These data indicate that although strain in the nanowires is largely elastic, an inelastic component is present for these strains, which is consistent with previous nanowire studies at high strain levels.²⁵

We have further investigated the nature of the strained U-shaped nanowires using Raman spectroscopy (Supporting Information). Representative spectra recorded from 80 nm diameter individual U-shaped nanowires with radii of curvature

from 3–0.8 μm and straight nanowires (i.e., not bent during the assembly process and cut by FIB to relax strain) suspended from a free-standing mesh (Supporting Information) reveal several key features (Figure 3d). The first-order scattering peaks centered at $\sim 520 \text{ cm}^{-1}$ are consistent with bulk Si at present in all samples. However, the full width at half-maximum (fwhm) of this mode increases from $3.1 \pm 0.2 \text{ cm}^{-1}$ in as-grown straight nanowires to 7.0 ± 0.6 , 10.9 ± 2.4 , and $14.9 \pm 3.1 \text{ cm}^{-1}$ as the radius of curvature decreases 3, 1.5, and 0.8 μm , respectively ($N = 5$; Figure 3e). Interestingly, following release by FIB-cutting, the fwhm returns to a value $3.3 \pm 0.3 \text{ cm}^{-1}$ ($N = 5$, Figure 3e), similar to unstrained nanowires, and is thus consistent with SEM and TEM results described earlier. Previous studies²⁶ indicate that the increases in fwhm can be attributed to compressive (positive frequency shift) and tensile (negative shift) strains present in bent semiconductor nanowires, which both will be present in our U-shaped nanowires.

Last, we demonstrate a potential application of shape-controlled deterministic nanowire assembly with design-based²² fabrication of large-scale three-dimensional (3D) nanowire bioprobes. We targeted U-shaped silicon nanowires in a 3D bend-up geometry since previous studies of 3D kinked nanowires highlighted the capability of these nanodevices for recording intracellular action potentials.²⁷ We note that overall fabrication (Supporting Information) is substantially simplified as all connections, including source/drain and interconnects, are made without the need to register to individual nanowires since all alignments can be made relative to the original U-shaped trenches used for the deterministic assembly process. A photograph (Figure 4a) and optical microscopy images (Figure 4b,c) highlight the regular structure of the probe chip with 200 individually addressable nanodevices. A representative SEM image of a portion of the probe tip region of the chip (Figure 4d) shows the regular 3D U-shaped nanowire devices with pitch of $\sim 20 \mu\text{m}$.

Significantly, analysis of the device conductance values showed that 181/200 devices were conducting, giving an about 90% overall yield. Characterization of the water-gate transconductance or sensitivity and sensitivity to noise ratio for the 181 active nanodevices (Supporting Information) further yielded values for the mean ± 1 standard deviation of $2.5 \pm 2.0 \mu\text{S/V}$ and $2.7 \pm 1.2 \times 10^3 \text{ V}^{-1}$, respectively. A map of the sensitivity to noise values (Figure 4e) highlights the high-yield of high-sensitivity/noise devices, where 90% have values ≥ 500 . These values are comparable to or better than the performance of reported individual kinked nanowire devices^{28–30} and thus should be capable of improved signal recording.

In summary, we have demonstrated a new concept combining simultaneous assembly and guided shaping to achieve large-scale, high-precision shape-controlled deterministic assembly of nanowires. We lithographically pattern U-shaped trenches and then shear transfer nanowires to the patterned substrate wafers, where the trenches serve to define the positions and shapes of transferred nanowires. Studies using semicircular trenches have shown the capability for wafer-scale deterministic assembly produced U-shaped nanowires with yields of $\sim 90\%$ over nearly one-half million sites. In addition, mechanistic studies and simulations have shown that the shaping process results primarily in elastic deformation of the nanowires, and they have defined diameter-dependent limits achievable for experimentally accessible forces. Last, this approach was used to assemble 3D U-shaped nanowire field-effect transistor bioprobes containing 200 individually addressable nanodevices with sensitivities suitable for action potential recording from electrogenic cells.^{27,28,30} In the future, we believe these 3D bioprobes could be particularly attractive for probing neural networks, including measurements from acute brain slices,³¹ and possibly *in vivo* recording.³² More generally, use of shape-controlled deterministic nanowire assembly to combine the strengths of wafer-scale top-down fabrication with diverse and tunable properties of one-dimensional building blocks in novel structural configurations for new functional integrated nanodevices based on nanowire strain-engineering^{33,34} and possible directions has not yet been imagined.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.nanolett.6b00292.

Materials and methods; dependence of nanowire anchoring yields on key trench dimensions; dependence of nanowire bending curvature on contact pressure; dependence of nanowire bending curvature on nanowire diameter; TEM images of U-shaped silicon nanowires (PDF)

SEM video recordings of the release process of a U-shaped silicon nanowire (AVI)

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Author Contributions

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Notes

The authors declare no competing financial interest.

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